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# GUIDEBOOK FOR COMPLIANCE OF PLASTIC WASTE MANAGEMENT

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( Major Rules, EPR Provisions, Prohibited Items )  
As Notified By the Government of India & Government of  
Uttar Pradesh

Waste Management Division –III

Plastic Waste Management  
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A large, light green recycling symbol is centered in the background. It consists of three arrows forming a continuous loop, with a slight 3D effect and shadows.

# **GUIDEBOOK FOR COMPLIANCE OF PLASTIC WASTE MANAGEMENT**



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## BRIEF

S. no	Rules	Date Of Notification	Rules Related To
1	PWM Rules 2016	18.03.2016	Plastic Waste Management
2	UDD Govt Of UP	15.07.2018	Ban on carry bag and cutlery items
3	PWM amendment Rules 2021	12.08.2021	Ban on identified SUP from 1.7.2022
4	PWM amendment Rules 2022	16.02.2022	Nationwide EPR guidelines for PIBOs & PWP

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## DEFINITIONS

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**PIBO :**

**P : Producer ; I : Importer ; BO : Brand Owner**

**PWP : Plastic Waste Processors**

**Producer** means person engaged in manufacture or import of carry bags or multi-layered packaging or plastic sheets or like, and includes industries or individuals using plastic sheets or like or covers made of plastic sheets or multi-layered packaging for packaging or wrapping the commodity.

**Importer** means a person who imports plastic packaging product or products with plastic packaging or carry bags or multi-layered packaging or plastic sheets or like;

**Brand Owner** means a person or company who sells any commodity under a registered brand label or trade mark;

**Plastic Waste Processors** means recyclers and entities engaged in using plastic waste for energy (waste to energy), and converting it to oil (waste to oil), industrial composting.

**Compostable Plastics** mean plastic that undergoes degradation by biological processes during composting to yield CO<sub>2</sub>, water, inorganic compounds and biomass at a rate consistent with other known compostable materials, excluding conventional petro-based plastics, and does not leave visible, distinguishable or toxic residue;

**Biodegradable Plastics** means plastics, other than compostable plastics, which undergoes degradation by biological processes under ambient environment (terrestrial or in water) conditions, without leaving any micro plastics, or visible, or distinguishable or toxic residue, which has adverse environment impacts, adhering to laid down standards of Bureau of Indian Standards and certified by the Central Pollution Control Board.

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## MAJOR POINTS RELATED TO PLASTIC WASTE MANAGEMENT

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1. Plastic Sheets for use of packaging shall not be made less than 50 microns in thickness except where the thickness hamper the quality of packaged material like : medicine etc.
2. Gutkha, Pan Masala, Tobacco shall not be packed in plastic packaging.
3. All kinds of plastic carry bag (Irrespective of thickness, woven/non-woven) are ban in Uttar Pradesh.
4. Compostable and Bio-degradable carry bags are allowed only after Authorization/Registration of Central Pollution Control Board.
5. Apart from carry bags following identified Single Use Plastic are ban :
  - Ear buds with plastic sticks, plastic sticks for balloons, plastic flags, candy sticks, ice-cream sticks, polystyrene [Thermocol] for decoration
  - Plates, cups, glasses, cutlery such as forks, spoons, knives, straw, trays, wrapping or packing films around sweet boxes, invitation cards, and cigarette packets, plastic or PVC banners less than 100 micron, stirrers.
6. Plastic used in multilayer packaging (as an integral part) is exempted from thickness criteria.
7. All the Producers, Importers, Brand Owners (except micro and small units mentioned under MSME) and Plastic Waste Processors have to register themselves under EPR portal of CPCB (<https://www.cpcbepplastic.in/>) failing which the CTO may be refused.
8. All the Producers, Importers, Brand Owners (except micro and small units mentioned under MSME) have to submit their EPR plan to UPPCB for disposal of plastic waste generated in Uttar Pradesh from their packaging material.

## MAJOR PROVISIONS OF THE PWM RULES

Major Provisions	Rule	Stake Holder
Carry bags (plastic woven, non woven, shopping bags) irrespective of thickness and cutlery items made of plastic and thermocol for one time use only are ban in UP	Notification dated 15-07-2018 of UDD Govt. of U.P.	All 12 Departments for enforcement. All the industries involved in the production of Banned items.
plastic sheet or like, which is not an integral part of multi-layered packaging and cover made of plastic sheet used for packaging, wrapping the commodity shall not be less than fifty microns in thickness except where the thickness of such plastic sheets impair the functionality of the product;	4(d) PWM Rules 2016	All Brand owners and Producers
<p>a) plastic waste, which can be recycled, shall be channelized to registered plastic waste recycler and recycling of plastic shall conform to the Indian Standard: IS 14534:1998 titled as Guidelines for Recycling of Plastics, as amended from time to time.</p> <p>b) local bodies shall encourage the use of plastic waste (preferably the plastic waste which cannot be further recycled) for road construction as per Indian Road Congress guidelines or energy recovery or waste to oil etc. The standards and pollution control norms specified by the prescribed authority for these technologies shall be complied with.</p> <p>c) Thermoset plastic waste shall be processed and disposed of as per the guidelines issued from time to time by the Central Pollution Control Board.</p> <p>d) The inert from recycling or processing facilities of plastic waste shall be disposed of in compliance with the Solid Waste Management Rules, 2016 or as amended from time to time</p>	Rule 5 PWM 2016	ULBs

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
a) Ensuring segregation, collection, storage, transportation, processing and disposal of plastic waste. b) ensuring that no damage is caused to the environment during this process; c) ensuring channelization of recyclable plastic waste fraction to recyclers; d) ensuring processing and disposal on non-recyclable fraction of plastic waste in accordance with the guidelines issued by the Central Pollution Control Board; e) creating awareness among all stakeholders about their responsibilities; f) engaging civil societies or groups working with waste pickers; and g) ensuring that open burning of plastic waste does not take place.	Rule 6 PWM 2016	ULB
<b>Rule 9 EPR</b>	<b>PWM 2016 as amended 2022</b> <b>(16.02.2022)</b>	
9. The producers, within a period of six months from the date of publication of these rules, shall work out modalities for waste collection system based on Extended Producers Responsibility and involving State Urban Development Departments, either individually or collectively, through their own distribution channel or through the local body concerned.	Rule 9 PWM 2016	Producer, Importer and Brand Owner



## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
<p><b>(2)</b> Primary responsibility for collection of used multi-layered plastic sachet or pouches or packaging is of Producers, Importers and Brand Owners who introduce the products in the market. They need to establish a system for collecting back the plastic waste generated due to their products. This plan of collection to be submitted to the State Pollution Control Boards while applying for Consent to Establish or Operate or Renewal. The Brand Owners whose consent has been renewed before the notification of these rules shall submit such plan within one year from the date of notification of these rules and implement with two years thereafter.</p>	Rule 9 PWM 2016	Producer, Importer and Brand Owner
<p><b>Guidelines on Extended Producer Responsibility for Plastic Packaging (Modification done in Rule 9)</b></p>	16.02.2022	
<p><b>1. Obligated Entities:</b></p> <p>The following entities shall be covered under the Extended Producer Responsibility obligations and provisions of these guidelines namely: -</p> <p>(i) Producer (P) of plastic packaging;</p> <p>(ii) Importer (I) of all imported plastic packaging and / or plastic packaging of imported products;</p> <p>(iii) Brand Owners (BO) including online platforms/marketplaces and supermarkets/retail chains other than those, which are micro and small enterprises as per the criteria of Ministry of Micro, Small and Medium Enterprises, Government of India.;</p> <p>(iv) Plastic Waste Processors</p>	Rule 4	Producer, Importer and Brand Owner

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
<p><b>1. Coverage of Extended Producer Responsibility:</b></p> <p>(5.1) The following plastic packaging categories are covered under Extended Producer Responsibility:</p> <p><b>(i) Category I</b></p> <p>Rigid plastic packaging;</p> <p><b>(i) Category II</b></p> <p>Flexible plastic packaging of single layer or multilayer (more than one layer with different types of plastic), plastic sheets or like and covers made of plastic sheet, carry bags, plastic sachet or pouches;</p> <p><b>(i) Category III</b></p> <p>Multilayered plastic packaging (at least one layer of plastic and at least one layer of material other than plastic);</p> <p><b>(i) Category IV</b></p> <p>Plastic sheets or like used for packaging as well as carry bags made of compostable plastics.</p>	5.1	
<p>The Extended Producer Responsibility Guidelines cover the following with respect to plastic packaging namely: -</p> <p>(i) Reuse;</p> <p>(ii) Recycling;</p> <p>(iii) Use of recycled plastic content;</p> <p>(iv) End-of-life disposal.</p>	5.2	

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
<p><b>1. Registration:</b></p> <p><b>(6.1) (a)</b> The following entities shall register on the centralized portal developed by Central Pollution Control Board namely: -</p> <ul style="list-style-type: none"> <li>(i) Producer (P);</li> <li>(ii) Importer (I);</li> <li>(iii) Brand owner (BO);</li> <li>(iv) Plastic Waste Processor engaged in (a) recycling, (b) waste to energy, (c) waste to oil, and (iv) industrial composting,</li> </ul> <p><b>(b)</b> Registration of Producers, Importers &amp; Brand-Owners (operating in one or two states) and Plastic Waste processors shall be done by State Pollution Control Board or Pollution Control Committee through the centralized Extended Producer Responsibility portal developed by Central Pollution Control Board.</p> <p><b>(c)</b> After these guidelines have come into effect, with respect to, entities starting their business in a particular year and placing their products in market in that year, they shall have Extended Producer Responsibility target obligations from the next year.</p> <p><b>(6.2)</b> The entities covered under clause 6.1 shall not carry any business without registration obtained through on- line centralized portal developed by Central Pollution Control Board.</p> <p><b>(6.3)</b> The entities covered under clause (6.1) shall not deal with any entity not registered through on-line centralized portal developed by Central Pollution Control Board.</p>	6	

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
<p><b>(6.4)</b> In case, it is found or determined that any entity registered on the on-line portal has provided false information or has willfully concealed information or there is any irregularity or deviation from the conditions stipulated while obtaining registration under Extended Producer Responsibility guidelines, then the registration of such an entity would be revoked for a one -year period after giving an opportunity to be heard. The entities whose registration has been revoked shall not be able to register afresh for the period of revocation.</p> <p><b>(6.5)</b> In case any entity falls in more than one sub-category mentioned in the clause (6.1) then the entity shall register under each of those sub-categories separately. Further, in cases, where the entity has units in different states, in a particular sub-category mentioned in clause 6.1, then these units shall also be registered separately. However, only one registration under a sub category in a state would be needed, even if, more than one unit are located in a state. The registration shall be as per Standard Operating Procedure laid down by Central Pollution Control Board for the purpose, as per these Guidelines.</p> <p><b>(6.6)</b> While registering, the entities shall have to provide PAN Number, GST Number, CIN Number of the company and Aadhar Number and PAN Number of authorized person or representative and any other necessary information as required.</p>	6	

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder		
Targets for Extended Producer Responsibility and obligations of Producers, Importers & Brand-Owners (Rule 7)				
Eligible Quantity in MT (Q 1) shall be the average weight of plastic packaging material (category-wise) sold in the last two financial years (A) plus average quantity of pre-consumer plastic packaging waste in the last two financial years (B) minus the annual quantity (C) supplied to the entities covered under sub-clause 4 (iii) in the previous financial year as under: - Q 1 (in MT) = (A + B) – C	7.2	Producer (P):		
Extended Producer Responsibility target (Producer) :				
S.no	Year	Extended Producer Responsibility target (as a percentage of Q1 - category-wise)		
I	2021 - 22	25 %		
II	2022 - 23	70 %		
III	2023 - 24	100 %		
The Extended Producer Responsibility target in MT category-wise, as applicable, shall be provided by the Producer, as part of the Action Plan on the centralized portal developed by the Central Pollution Control Board.				
Obligation for recycling (Producer)	7.2b	Producers		
Minimum level of recycling %(excluding end-of-life disposal) of plastic packaging waste (Producer) :				
Plastic packaging category	2024-25	2025-26	2026-27	2027-28 and onwards
Category I	50	60	70	80
Category II	30	40	50	60
Category III	30	40	50	60
Category IV	50	60	70	80

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions		Rule		Stake Holder	
Obligation for use of recycled plastic content (Producer)					
Mandatory use of recycled plastic in plastic packaging (% of plastic manufactured for the year)					
Plastic packaging category		2025-26	2026-27	2027-28	2028-29 and onwards
Category I		30	40	50	60
Category II		10	10	20	20
Category III		5	5	10	10

**Importer (I):**

**(a)Extended Producer Responsibility Target (Refer examples 1 to 3 in Annexure)**

Eligible Quantity in MT (Q 2) shall be the average weight of all plastic packaging material and / or plastic packaging of imported products (category-wise) imported and sold in the last two financial years (A) plus average quantity of pre-consumer plastic packaging in the last two financial years (B) waste minus the annual quantity (C) supplied to the entities covered under sub-clause 4 (iii) in the previous financial years as under: -

Q 2 (in MT) = (A + B) - C

and the Extended Producer Responsibility target shall be determined, category-wise , as given below namely: -

Category	Year	Extended Producer Responsibility target (as a percentage of Q 2 - category-wise)
I	2021 - 22	25 %
II	2022 - 23	70 %
III	2023 - 24	100 %

**Obligation for recycling (Importer)**

Minimum level of recycling (excluding end-of-life disposal) of plastic packaging waste (% of extended producer responsibility Target)

Plastic packaging category	2024-25	2025-26	2026-27	2027-28 and onwards
Category I	50	60	70	80
Category II	30	40	50	60
Category III	30	40	50	60

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions		Rule		Stake Holder
Obligation for use of recycled plastic content (Importer)				
Plastic packaging category	2025-26	2026-27	2027-28	2028-29 and onwards
Category I	30	40	50	60
Category II	10	10	20	20
Category III	5	5	10	10
Brand Owner (BO): Extended Producer Responsibility target				
Eligible Quantity in MT (Q 3) shall be the average weight of virgin plastic packaging material (category-wise) purchased and introduced in market in the last two financial years (A) plus average quantity of (B) of pre-consumer plastic packaging in the last two financial years as under: -				
Q 3 (in MT) = A + B				
The Extended Producer Responsibility target shall be determined, category-wise, as given below namely: -				
S.N.	Year	Extended Producer Responsibility Target (as a percentage of Q3 - category-wise)		
I	2021 - 22	25 %		
II	2022 - 23	70 %		
III	2023 - 24	100 %		
Obligation for reuse (Brand Owner)				
(ii) Minimum obligation to reuse for Category I (rigid plastic packaging).				
SN	Year	Target (as percentage of Category I rigid plastic packaging in products sold annually)		
A	Category I rigid plastic packaging with volume or weight equal or more than 0.9 liter or kg but less than 4.9 litres or kg, as the case may be			
I	2025 – 26	10		
II	2026 – 27	15		
III	2027-28	20		
IV	2028-29 and onwards	25		

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions		Rule	Stake Holder
SN	Year	Target (as percentage of Category I rigid plastic packaging in products sold annually)	
B	Category I rigid plastic packaging with volume of weight equal or more than 4.9 litres or kg.		
I	2025 – 26	70	
II	2026 – 27	75	
III	2027-28	80	
IV	2028-29 and onwards	85	

(II) The quantity of rigid packaging reused by brand Owner shall be calculated by reducing virgin plastic packaging manufactured/imported/purchased in that year from the sales of the Brand Owner. The brand owner shall provide this information on the centralized portal developed by Central Pollution Control Board.

(III) The quantity of Category I rigid plastic packaging reused shall be reduced from the total plastic packaging used under Category I by the obligated entities (Brand Owners). The quantity of Category I rigid plastic packaging reused during the year 2022 – 2023 and 2023-2024, shall be reduced from the total plastic packaging used under Category.

### Obligation for recycling (Brand Owner)

Minimum level of recycling (excluding end of life disposal) of plastic packaging waste (% of Extended Producer Responsibility Target)

Plastic packaging category	2024-25	2025-26	2026-27	2027-28 and onwards
Category I	50	60	70	80
Category II	30	40	50	60
Category III	30	40	50	60
Category IV	50	60	70	80

In case of Category IV plastic packaging category (plastic sheet or like used for packaging and carry bags made of compostable plastics), the minimum level of recycling means processing plastic packaging waste for composting through industrial composting facilities.



## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule		Stake Holder	
Obligation for use of recycled plastic content (Brand Owner)				
Mandatory use of recycled plastic in plastic packaging (% of manufactured plastic for the year)				
Plastic packaging category	2025-26	2026-27	2027-28	2028-29 and onwards
Category I	30	40	50	60
Category II	10	10	20	20
Category III	5	5	10	10
In case, the obligated entity utilizes plastic packaging which is 100% biodegradable in the ambient environment leaving no traces of micro plastics or chemical residue or any other traces having adverse environmental and health impacts as certified by regulatory entities Central Pollution Control Board, Bureau of Indian Standards, Central Institute of Petrochemicals Engineering & Technology, the Extended Producer Responsibility target will not be applicable for such material.				
Imposition of Environmental Compensation				
Environmental Compensation shall be levied based upon the polluter pays principle, with respect to non-fulfilment of Extended Producer Responsibility targets by Producers, Importers & Brand Owners, for the purpose of protecting and improving the quality of the environment and preventing, controlling, and abating environmental pollution.	9.1			
Central Pollution Control Board shall lay down guidelines for imposition and collection of environment compensation on Producers, Importers & Brand-Owners, recyclers and end of life processors, in case of non- fulfilment of obligations set out in these guidelines, and the same shall be notified. The Guidelines for Environmental Compensation shall be updated, as required	9.2			

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
The Environment Compensation, as applicable, shall be levied by Central Pollution Control Board on the Producers, Importers & Brand-Owners operating in more than two states with respect to non-fulfilment of their Extended Producer Responsibility targets, responsibilities and obligations in these guidelines	9.3	
The Environment Compensation, as applicable, shall be levied by respective State Pollution Control Board on the Producers, Importers & Brand-Owners operating in their jurisdiction (for Producers, Importers & Brand-Owners not operating in more than two states/Union Territory's), Plastic Waste Processors which includes recyclers and other waste processors – waste to energy, waste to oil, co-processors, with respect to non-fulfilment of their Extended Producer Responsibility targets or responsibilities and obligations set out under these guidelines. In case, the State Pollution Control Board or Pollution Control Committee does not take action in reasonable time, the Central Pollution Control Board shall issue directions to the State Pollution Control Board /Pollution Control Committee.	9.4	
Payment of environmental compensation shall not absolve the Producers, Importers & Brand-Owners of the obligations set out in these guidelines. The unfulfilled Extended Producer Responsibility obligations for a particular year will be carried forward to the next year for a period of three years. In case, the shortfall of Extended Producer Responsibility obligation is addressed within three years.	9.5	

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
<p>The environmental compensation levied shall be returned to the Producers, Importers &amp; Brand-Owners as given below, namely</p> <p>i. Within one year of levying of EC: 75% return;</p> <p>ii. Within two years 60% return;</p> <p>iii. Within three years 40% return</p>	9.5	
<p>After completion of three years on environmental compensation getting due the entire environmental compensation amount shall be forfeited. This arrangement shall allow for collection and recycling of plastic packaging waste by Producers, Importers &amp; Brand-Owners in later years as well</p>		
<p>The funds collected under environmental compensation shall be kept in a separate Escrow account by Central Pollution Control Board or State Pollution Control Board or Pollution Control Committee. The funds collected shall be utilized in collection, recycling and end of life disposal of uncollected and non-recycled or non- end of life disposal of plastic packaging waste, on which the environmental compensation is levied. Modalities for utilization of the funds for plastic waste management on an annual basis would be recommended by the Committee for Extended Producer Responsibility implementation and approved by the Competent Authority in the Ministry.</p>	9.6	
<b>Role of Producers, Importers &amp; Brand-Owners</b>		
<p>The Producers, Importers &amp; Brand-Owners shall have to register through the online centralized portal developed by Central Pollution Control Board. The certificate of registration shall be issued using the portal.</p>	10.1	

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
Producers, Importers & Brand-Owners shall provide Action Plan containing information on the Extended Producer Responsibility Target, category-wise, where applicable, through the online centralized portal developed by Central Pollution Control Board, along with application for registration or renewal of registration under Plastic Waste Management Rules, 2016. The Action Plan shall cover tenure of the Registration as per the provisions of Plastic Waste Management Rules, 2016. The standard operating procedure for registration and the action plan pro forma shall be developed by Central Pollution Control Board as per these guidelines.	10.2	
<b>Brand Owner covered under clause 4 (iii) shall provide details of plastic packaging purchased from Producers and/or Importers covered under clause 4 (i) and 4 (ii) separately. The quantities attributed to each Producer and Importer covered under clause 4 (i) and 4 (ii) obligated upon Brand Owner shall be deducted from the obligation of Producers and Importers. The record of such purchase including category-wise quantity purchased, shall be maintained separately by Brand Owner</b>	10.3	
The Producers and Importers covered under clauses 4 (i) and 4 (ii) will maintain the record of the quantity of plastic packaging material made available to Brand Owner covered under clause 4 (iii). The record of such sale including category-wise quantity sold, will be maintained separately by Producers and Importers. In case such records are not maintained, they will have to fulfil the complete Extended Producer Responsibility obligation. The online platform shall cross-check the declaration of transactions among Producers, Importers & Brand-Owners.	10.4	

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
In order to develop a separate waste stream for collection of plastic packaging waste for directly fulfilling Extended Producer Responsibility obligations, the Producers, Importers & Brand-Owners may operate schemes such as deposit refund system or buy back or any other model. This will prevent mixing of plastic packaging waste with solid waste	10.5	
The Producers, Importers & Brand-Owners shall file annual returns on the plastic packaging waste collected and processed towards fulfilling obligations under Extended Producer Responsibility with the Central Pollution Control Board or concerned State Pollution Control Board or Pollution Control Committee as per pro forma prescribed by Central Pollution Control Board by the 30th June of the next financial year. Information on the reuse and/or recycled content used for packaging purposes will also be provided. The details of the registered recyclers from whom the recycled plastic has been procured will also be provided.	10.6	
<b>Role of State Pollution Control Board or Pollution Control Committee</b>		
The concerned State Pollution Control Board or Pollution Control Committee shall register Producers, Importers & Brand-Owners (operating in one or two states) and plastic waste processors, through the online portal developed by Central Pollution Control Board. Provision for registration shall be made on the Extended Producer Responsibility portal. State Pollution Control Board or Pollution Control Committee by itself or through a designated agency shall verify compliance of Producers, Importers & Brand-Owners through inspection and periodic audit, as deemed appropriate, of Producers, Importers & Brand-Owners as well as plastic waste processors in their jurisdiction as per the Plastic Waste Management Rule, 2016.		

## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
The State Pollution Control Board or Pollution Control Committee shall bring out a list of entities (Exception Report) who have not fulfilled their Extended Producer Responsibility responsibilities on annual basis and publish the same on their website. The State Pollution Control Board or Pollution Control Committee shall submit the Annual Reports submitted by Producers, Importers & Brand-Owners and plastic waste processors in their jurisdiction to Central Pollution Control Board and upload the same on the online Extended Producer Responsibility portal	13.2	
State Pollution Control Board or Pollution Control Committee will establish a mechanism to ensure a regular dialogue between relevant stakeholders involved in the fulfilment of extended producer responsibility obligations under the Plastic Waste Management Rule, 2016	13.3	
State Pollution Control Board or Pollution Control Committee shall carry out a compositional survey of collected mixed municipal waste to determine the share of plastic waste as well as different categories of plastics packaging material on a half-yearly basis	13.4	
<b>Fulfilment of Extended Producer Responsibility Obligations</b>		
The Producers, Importers & Brand-Owners shall have to provide the details of recycling certificate only from registered recyclers along with the details of quantity sent for end of life disposal, by 30th June of next financial year while filing annual returns on the online portal. The details provided by Producers, Importers & Brand-Owners and registered plastic waste processors will be cross-checked by the online portal. In case of difference, the lower figure would be considered towards fulfilment of Extended Producer Responsibility obligation of Producers,	15	

## MAJOR PROVISIONS OF THE PWM RULES

Major Provisions	Rule	Stake Holder
Importers & Brand-Owners. The certificates shall be subject to verification by Central Pollution Control Board or State Pollution Control Board or Pollution Control Committee, as the case may be		
<b>Committee for Extended Producer Responsibility under PWM Rules</b>		
A committee shall be constituted by the Central Pollution Control Board under chairpersonship of Chairman, Central Pollution Control Board to recommend measures to Ministry of Environment, Forest and Climate Change for effective implementation of Extended Producer Responsibility including amendments to Extended Producer Responsibility guidelines. The committee shall monitor the implementations of Extended Producer Responsibility and also take such measures as required for removal of difficulties. The Committee shall also be tasked with the guiding and supervision of the online portal including approval of requisite forms or pro forma.	18.1	
The committee shall comprise of representative from concerned line Ministries/Departments such as Ministry of Housing and Urban Affairs, Ministry of Micro, Small and Medium Enterprises, Department of Drinking Water and Sanitation, Department of Chemical and Petrochemicals; Bureau of Indian Standards, three State Pollution Control Board or Pollution Control Committee, Central Institute of Plastic Engineering and Technology (CIPET), National Environmental Engineering Research Institute (NEERI), and three industry associations, and any other invitee as decided by the chairperson of the committee.	18.2	



## MAJOR PROVISIONS OF THE PW RULES

Major Provisions	Rule	Stake Holder
<b>PWM RULE 2016</b>		
<p><b>Marking or labelling.</b>-(1) Each plastic carry bag and multi-layered packaging shall have the following information printed in English namely,-</p> <p>(a) name, registration number of the manufacturer, and thickness in case of carry bag;</p> <p>(b) name and registration number of the manufacturer in case of multi-layered packaging; and</p> <p>(c) name and certificate number [Rule 4(h)] in case of carry bags made from compostable plastic</p>	11	
<p><b>Prescribed authority.</b>-</p> <p>(1) The State Pollution Control Board and Pollution Control Committee in respect of a Union territory shall be the authority for enforcement of the provisions of these rules relating to registration, manufacture of plastic products and multi-layered packaging, processing and disposal of plastic wastes.</p> <p>(2) The concerned Secretary-in-charge of Urban Development of the State or a Union Territory shall be the authority for enforcement of the provisions of these rules relating to waste management by waste generator, use of plastic carry bags, plastic sheets or like, covers made of plastic sheets and multi-layered packaging.</p> <p>(3) The concerned Gram Panchayat shall be the authority for enforcement of the provisions of these rules relating to waste management by the waste generator, use of plastic carry bags, plastic sheets or like, covers made of plastic sheets and multi-layered packaging in the rural area of the State or a Union Territory.</p>	12	



## MAJOR PROVISIONS OF THE PW RULES







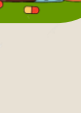
Major Provisions	Rule	Stake Holder
The authorities referred to in sub-rules (1) to (3) shall take the assistance of the District Magistrate or the Deputy Commissioner within the territorial limits of the jurisdiction of the concerned district in the enforcement of the provisions of these rules.	12	
<b>Single Use Plastic (SUP) Ban Notification 12.08.2021</b>		
<b>Following Identified SUP are banned in India –</b>  <b>Earbuds with plastic sticks, plastic sticks for balloons, plastic flags, candy sticks, ice-cream sticks, polystyrene [Thermocol] for Decoration, Plates, cups, glasses, cutlery such as forks, spoons, knives, straw, trays, wrapping or packing films around sweet boxes, invitation cards, and cigarette packets, plastic or PVC banners less than 100 micron, stirrers.</b>		
<b>Plastic carry bags irrespective of thickness is banned in UP vide notification dated 15.7.2018</b>		

## सिंगल यूज प्लास्टिक एवं थर्मोकाल उत्पादों पर अधिरोपित प्रतिबंध के संबंध में मार्गदर्शिका।

### ❌ प्रतिबन्धित उत्पाद

	प्लास्टिक कैंरी बैग
	प्लास्टिक स्टिक युक्त ईयर बड्स
	गुब्बारों के लिए प्लास्टिक की डंडिया
	प्लास्टिक के झंडे
	कैंडी स्टिक
	आइसक्रीम की प्लास्टिक की डंडिया
	पॉलीस्टाइरीन (थर्मोकाल) की सजावटी सामग्री
	प्लेटें, कप, गिलास, कांटे, चम्मच, चाकू स्ट्रॉ, ट्रे जैसे कटलरी
	मिठाई के डिब्बों के इर्द-गिर्द लपेटने या पैक करने वाली फिल्में
	निमंत्रण कार्ड और सिगरेट पैकेट के लपेटने में प्रयुक्त प्लास्टिक
	100 माइक्रोन से कम मोटाई वाले प्लास्टिक या पीवीसी बैनर, स्ट्रिटर

### ✅ मान्य उत्पाद

पैट बॉटल (पानी की बॉटल जार पाउचेस इत्यादि)	
प्लास्टिक पैकेजिंग मैटेरियल ( 50 माइक्रोन से अधिक मोटाई के)	
खाद्य एवं पेय पदार्थों को संरक्षित रखने हेतु पैकेजिंग मैटेरियल	
पौधा रोपण हेतु, कृषि हेतु ठोस अपशिष्ट हेतु कम्पोस्ट बैग	
मल्टीलेयर पैकेजिंग	
घरेलू उपयोग हेतु प्लास्टिक आइटम	
दवाईयों के पैकेजिंग हेतु प्लास्टिक	



REDUCE



REUSE



RECYCLE

नोट— उक्त समस्त मान्य उत्पादों पर अपशिष्ट प्लास्टिक नियम, 2016 यथासंशोधित 2021 एवं अधिसूचना दिनांक 16.02.2022 द्वारा जारी ई0पी0आर0 निर्देश प्रभावी रहेंगे।



## **Uttar Pradesh Pollution Control Board**

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