

U.P Pollution Control Board

List of Documents to be attached along with the consent applications

1. CTE under Water and Air Act

S no.	Documents to be attached
1	A covering requisition letter stating the status of the industry and activities clearly.
2	Copy of attested sale Deed /Lease Deed or any other relevant documents as proof to ensure possession of the site/factory for which application is made by the applicant.
3	Copy of attested Memorandum of Articles in case of Public/Private sectors or registered partnership deed in case of partnership company.
4	Layout plan showing the location of various process equipments, utilities like boiler, generator etc, effluent treatment plant, outlet location, non-hazardous and hazardous waste storage yard.
5	Schematic diagram showing the distance of water bodies, roads, existing/proposed residential areas, agricultural lands, important religious locations, educational institutions, ancient monuments, archeological places and other sensitive areas for 2 KM. radius from the units.
6	Detailed manufacturing process for each product along with detailed process flow chart.
7	Details of Water Balance and wastewater balance for process.
8	Details of Material balance for each products and process.
9	Land use classification certificate as obtained from the competent authority of the Uttar Pradesh.
10	Auditor's Certificate with break up details for the proposed Gross fixed Assets duly certified by a Chartered Accountant along with the financial provisions for Pollution Control Measures.
11	Consent fee under Water and Air Acts payable to the Board.
12	Ground water clearance obtained from the competent Authority (If applicable).
13	Sewage Treatment Plant (STP) proposal which must contain details of design characteristics of sewage, treatment methodology, mode of disposal, design criteria for various units, detailed drawing of STP and its layout, diagram showing the hydraulic profile and mode of disposal of treated sewage and its adequacy (If applicable).

14	Effluent Treatment Plant (ETP) proposal which must contain details including breakup quantity of water requirement with sources, breakup quantity of trade effluent, sources of trade effluent, characteristics of wastewater, treatment methodology, mode of disposal, design criteria for various units, detailed drawing of ETP and its layout, diagram showing the hydraulic profile and mode of disposal of treated effluent and its adequacy, complete details of Land in case of on land disposal (If applicable).
15	Air pollution control (APC) measures proposal which must contain the details regarding fuels used, sources of emission, characteristics, concentration and quality of pollutant, proposal along with design criteria and drawing for the proposed APC measures, adequacy of APC measures and stack, odor/ noise causing operations and its specific odor/ noise control measures (If applicable).
16	In case of hazardous chemicals used as raw materials, the Material Safety Data Sheets (MSDS) should be enclosed for each and every item. If the quantity of the hazardous chemicals handled is more than the threshold limit, the unit shall furnish any one or combination of the following documents as required under the Hazardous and Other Wastes (Management and Transboundary Movement) Rules 2016, Risk assessment report/ Onsite emergency preparedness plan / Off site emergency preparedness plan. (If applicable).
17	In case of transport of hazardous chemicals , details of chemicals transported, method of transport and its safety measures (If applicable).
18	Industries attracting EIA Notification shall submit Environmental Clearance obtained from the MOEF/SEIAA along with the Environmental Impact Assessment Report (If applicable).
19	Statutory clearances obtained from the competent Authority regarding Eco-sensitive zones, forest Area or TTZ area etc. (If applicable).
20	Proposal for installation of Online monitoring system for effluent and or Emissions as applicable.

2. CTO for trail production after CTE under Water and Air Act

S no.	Documents to be attached
1	A covering requisition letter stating the status of the industry and activities clearly.
2	Compliance statement on the CTE special conditions stipulated under Water & Air Act.
3	The latest Audited Balance Sheet/Auditor's certificate showing the Fixed Assets , Current Assets and Current Liabilities.
4	Photographs of the provided measures of ETP / APC / other compliances of conditions (If applicable).
5	Compliance statement on the EC conditions stipulated under E P Act (If applicable).
6	Agreement with Common TSDF/ CBMWTF (If applicable).

3. CTO under Water and Air Act

S no.	Documents to be attached
1	A covering requisition letter stating the status of the industry and activities clearly.
2	Details of production capacity, actual products manufactured in month wise during the last two financial years.
3	Details of changes if any in the quantity of sewage/trade effluent generated and mode of disposal of the same indicated against in the original consent order (If applicable).
4	Details of changes if any in the quantity of emission and number and height of chimney/stacks indicated against in the original consent order (If applicable).
5	Details of changes if any in the name or in the management/ Board of Directors of the company (If applicable).
6	Latest Analysis report of the treated sewage/ trade effluent samples (If Applicable).
7	Latest Analysis report of the Stack Monitoring, AAQ and Noise Level (As applicable).
8	Compliance report on the conditions of latest Hazardous waste Authorisation /Biomedical Waste Authorisation issued to the unit (If applicable).
9	Compliance report on the latest consent/renewal of consent order conditions stipulated under Water & Air Acts issued to the unit.

10	The latest Audited Balance Sheet/Auditor's certificate showing the Fixed Assets ,Current Assets and Current Liabilities.
11	Details and mode of payment of Consent fee under Water and Air Act.
12	Status of Water Cess payment along with the details of last bill (If applicable).
13	Details of Online monitoring system installed for effluent and or Emissions as applicable.
14	Complete details of systems adopted for ZLD and details of land in case of on land disposal along with the photographs (If applicable)

4. List of documents to be attached along with the Authorization Application under the Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016.

S no.	Documents to be attached
1	Consent to Establish (CTE) granted by the State Pollution Control Board under the Water (Prevention and Control of Pollution) Act, 1974 and the Air (Prevention and Control of Pollution) Act, 1981.
2	Consent to Operate (CTO) granted by the State Pollution Control Board under the Water (Prevention and Control of Pollution) Act, 1974 and/or Air (Prevention and Control of Pollution) Act, 1981.
3	In case of renewal of authorisation, a self-certified compliance report in respect of effluent, emission standards and the conditions specified in the previous authorisation for hazardous and other wastes.
4	Copy of the Emergency Response Plan (ERP) which should address procedures for dealing with emergency situations (viz. Spillage or release or fire) as specified in the guidelines of Central Pollution Control Board (If applicable).
5	Characteristics (waste-wise) and Quantity of waste generation per annum.