



## उ०प्र० प्रदूषण नियंत्रण बोर्ड, लखनऊ

**अपशिष्ट प्लास्टिक नियम, 2016 यथासंशोधित के नियम-9 एवं 13 के अन्तर्गत Extended Producer Responsibility Plan बोर्ड में जमा किये जाने तथा पंजीकरण प्राप्त किये जाने हेतु नोटिस।**

अपशिष्ट प्लास्टिक नियम, 2016 यथासंशोधित के नियम-9 एवं 13 के अन्तर्गत प्रोड्यूसर्स, इम्पोर्टर्स एवं ब्राण्ड ओनर्स द्वारा वातावरण में अपने उत्पाद से जनित प्लास्टिक को वापस लेते हुए रिसाइकिल/डिस्पोज कराये जाने की उत्तरदायिता प्राविधानित है। उक्त प्राविधान के अनुपालन हेतु प्रत्येक प्रोड्यूसर्स, इम्पोर्टर्स एवं ब्राण्ड ओनर्स द्वारा Extended Producer Responsibility Plan उ०प्र० प्रदूषण नियंत्रण बोर्ड में जमा किया जाना है।

अपशिष्ट प्लास्टिक नियम, 2016 यथासंशोधित के नियम-9 एवं 13 के अन्तर्गत प्रोड्यूसर्स, ब्राण्ड ओनर्स, मैनुफैक्चरर्स एवं रिसाइकलर्स द्वारा पंजीकरण प्राप्त किया जाना प्राविधानित है।

उ०प्र० प्रदूषण नियंत्रण बोर्ड द्वारा अपशिष्ट प्लास्टिक नियम, 2016 यथासंशोधित के नियम-9 के अन्तर्गत Extended Producer Responsibility Plan उ०प्र० प्रदूषण नियंत्रण बोर्ड में जमा किये जाने हेतु Standard Operating Procedure तैयार किया गया है जो कि बोर्ड की वेबसाइट [www.uppcb.com](http://www.uppcb.com) एवं बोर्ड के समस्त 28 क्षेत्रीय कार्यालयों पर उपलब्ध है।

समस्त प्रोड्यूसर्स, इम्पोर्टर्स एवं ब्राण्ड ओनर्स को निर्देशित किया जाता है कि बोर्ड द्वारा तैयार की गयी Standard Operating Procedure के अनुसार पूर्व में जमा किये गये Extended Producer Responsibility Plan को अपडेट करते हुए तथा जिनके द्वारा अद्यतन तक Extended Producer Responsibility Plan बोर्ड में जमा नहीं किये गये हैं, के द्वारा नवीन Extended Producer Responsibility Plan उ०प्र० प्रदूषण नियंत्रण बोर्ड में विलम्बतम् 15.11.2021 तक जमा किया जाना तथा उ०प्र० प्रदूषण नियंत्रण बोर्ड/केन्द्रीय प्रदूषण नियंत्रण बोर्ड (जो भी लागू हो) से पंजीकरण प्राप्त किया जाना सुनिश्चित करें। उक्त कार्यवाही 15.11.2021 तक पूर्ण न किये जाने की दशा में दोषी इकाईयों के विरुद्ध केन्द्रीय प्रदूषण नियंत्रण बोर्ड द्वारा जारी गाइडलाइन के अनुसार 18.03.2016 अथवा उद्योग के क्रियाशील होने की तिथि (जो भी पहले हो) से रू० 5,000/-प्रति टन अपशिष्ट प्लास्टिक जनन की दर से अनुपालन किये जाने की तिथि तक पर्यावरणीय क्षतिपूर्ति अधिरोपित किये जाने एवं पर्यावरण (संरक्षण) अधिनियम, 1986 की धारा-5 के प्राविधानों के अन्तर्गत विधिक कार्यवाही प्रारम्भ कर दी जायेगी जिसका संपूर्ण उत्तरदायित्व स्वयं उद्योग का होगा।

(सदस्य सचिव)

**Standard Operating Procedure for  
Registration of Producers, Importers &  
Brand-Owners (PIBOs)  
Under Plastic Waste Management Rules  
2016 (as amended)**



**Uttar Pradesh Pollution Control Board  
September 2021**

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## Uttar Pradesh Pollution Control Board

### Standard Operating Procedure for Registration of Producers, Importers & Brand-Owners (PIBOs) Under Plastic Waste Management Rules 2016 (as amended)

#### 1.0 Introduction

Ministry of Environment, Forest and Climate Change, Government of India has notified The Plastic Waste Management Rules, 2016 vide notification dated 18-03-2016 and the rules came into force from the date of their publication i.e., 18-03-2016.

#### 1.1 Important Definitions

**Brand Owner:** Means a person or company who sells any commodity under a registered brand.

**Extended Producer's Responsibility (EPR):** Means the responsibility of a producer for the environmentally sound management of the product until the end of its life.

**Importer:** means a person who imports or intends to import and holds an Importer Exporter Code number, unless otherwise specifically exempted.

**Manufacturer:** Means and include a person or unit or agency engaged in production of plastic raw material to be used as raw material by the producer.

**Multi-layered Packaging:** Means any material used or to be used for packaging and having at least one layer of plastic as the main ingredients in combination with one or more layers of materials such as paper, paper board, polymeric materials, metalised layers or aluminium foil, either in the form of a laminate or co-extruded structure;

**Plastic:** Means material which contains as an essential ingredient a high polymer such as polyethylene terephthalate, high density polyethylene, Vinyl, low density polyethylene, polypropylene, polystyrene resins, multi-materials like acrylonitrile butadiene styrene, polyphenylene oxide, polycarbonate, Polybutylene terephthalate;

**Plastic Sheet:** Means Plastic sheet is the sheet made of plastic;

**Plastic Waste:** Means any plastic discarded after use or after their intended use is over;

**Producer:** Means persons engaged in manufacture or import of carry bags or multi-layered packaging or plastic sheets or like, and includes industries or individuals using plastic sheets or

like or covers made of plastic sheets or multi-layered packaging for packaging or wrapping the commodity;

**Recycling:** Means the process of transforming segregated plastic waste into a new product or raw material for producing new products;

**Registration:** Means registration with the State Pollution Control Board or Pollution Control Committee concerned, as the case may be;

## **1.2 Provisions**

### **1.2.1 Responsibility of producers, Importers and Brand Owners:-**

1. The producers, within a period of six months from the date of publication of these rules, shall work out modalities for waste collection system based on Extended Producers Responsibility and involving State Urban Development Departments, either individually or collectively, through their own distribution channel or through the local body concerned.
2. Primary responsibility for collection of used multi-layered plastic sachet or pouches or packaging is of Producers, Importers and Brand Owners who introduce the products in the market. They need to establish a system for collecting back the plastic waste generated due to their products. This plan of collection to be submitted to the State Pollution Control Boards while applying for Consent to Establish or Operate or Renewal. The Brand Owners whose consent has been renewed before the notification of these rules shall submit such plan within one year from the date of notification of these rules and implement with two years thereafter.
3. Manufacture and use of non- recyclable multi-layered plastic if any should be phased out in Two years time.
4. The producer, within a period of three months from the date of final publication of these rules in the Official Gazette shall apply to the Pollution Control Board or the Pollution Control Committee, as the case may be, of the States or the Union Territories administration concerned, for grant of registration.
5. No producer shall on and after the expiry of a period of Six Months from the date of final publication of these rules in the Official Gazette manufacture or use any plastic or multi-layered packaging for packaging of commodities without registration from the concerned State Pollution Control Board or the Pollution Control Committees.
6. Every producer shall maintain a record of details of the person engaged in supply of plastic used as raw material to manufacture carry bags or plastic sheet or like or cover made of plastic sheet or multi-layered packaging.

### **1.2.2 Registration of producer, brand owners, recyclers and manufacturer:-**

1. No person shall manufacture carry bags or recycle plastic bags or multi-layered packaging unless the person has obtained a registration from the State Pollution Control Board or the Pollution Control Committee of the Union Territory concerned, as the case may be, prior to the commencement of production;
2. Every producer shall, for the purpose of registration or for renewal of registration, make an application to the State Pollution Control Board or the Pollution Control Committee of the Union territory concerned, in Form I
3. Every person recycling or processing waste or proposing to recycle or process plastic waste shall make an application to the State Pollution Control Board or the Pollution Control Committee, for grant of registration or renewal of registration for the recycling unit, in Form II.
4. Every manufacturer engaged in manufacturer of plastic to be used as raw material by the producer shall make an application to the State Pollution Control Board or the Pollution Control Committee of the Union territory concerned, for the grant of registration or for the renewal of registration, in Form III.
5. The State Pollution Control Board or the Pollution Control Committee shall not issue or renew registration to plastic waste recycling or processing units unless the unit possesses a valid consent under the Water (Prevention and Control of Pollution) Act, 1974 (6 of 1974) and the Air (Prevention and Control of Pollution) Act, 1981 (14 of 1981) along with a certificate of registration issued by the District Industries Centre or any other Government agency authorised in this regard.
6. The State Pollution Control Board or the Pollution Control Committee shall not renew registration of producer unless the producer possesses and action plan endorsed by the Secretary in charge of Urban Development of the concerned State or Union Territory for setting of plastic waste management system.
7. On receipt of the application complete in all respects for the registration for recycling or processing of plastic waste under sub-rule (3), the State Pollution Control Board may, after such inquiry as it considers necessary and on being satisfied that the applicant possesses appropriate facilities, technical capabilities and equipment to handle plastic waste safely, may grant registration to the applicant on fulfilment of the conditions as may be laid down in terms of registration.

8. Every State Pollution Control Board or Pollution Control Committee shall take a decision on the grant of registration within ninety days of receipt of an application which is complete in all respects.
9. The registration granted under this rule shall initially be valid for a period of one year, unless revoked, suspended or cancelled and shall subsequently be granted for three years.
10. State Pollution Control Board or the Pollution Control Committees shall not revoke, suspend or cancel registration without providing the opportunity of a hearing to the producer or person engaged in recycling or processing of plastic wastes.
11. Every application for renewal of registration shall be made at least one hundred twenty days before the expiry of the validity of the registration certificate.

## **2.0 SOP (Standard Operation Procedure):**

**2.1 Producer:** Every Producer engaged in production of Plastic packing material shall apply for registration from UPPCB and shall submit Extend Producer Responsibility Plan (EPR) to UPPCB. The EPR Plan to be submitted to UPPCB and concerning local body by Producer is available at the site of CPCB and Annexed as Annexure-1 of the SOP. As the National Framework for EPR is under consideration at MoEF & CC, the SOP shall be applicable till the finalization of EPR Framework by MoEF & CC, following which PIBOs shall be liable to fulfill EPR liability as specified in the Framework.

### **The EPR Plan and the duties of producer are defined as below:-**

- **Collection:** Producer shall fill the catchment area of the plastic waste generated through its manufacturing and shall make agreement with the concern local body for collection of the plastic waste. Producer may engaged local body or any agency for collection, transportation and disposal of plastic waste but the certificate of Local Body/ Gram Panchayat for collection of waste is mandatory.
- **Recycling:** Producer through by its own channel, through any agency or through local body shall recycle the recyclable Plastic Waste and shall submit the receipt of recycling from authorized recyclers.
- Producer shall submit the utilization certificate of the plastic waste recycled from recyclers.
- Producer through by its own channel, through any agency or through local body shall dispose the non-recyclable plastic waste through cement kiln and shall submit the receipt of plastic waste co-processed.
- Documents to be submitted by producer with EPR Plan:

- (i) Catchment area of plastic waste
- (ii) Quantity of Plastic Packing material produced (annual)
- (iii) Type of Plastic Packing material produced.
- (iv) Agreement Copy with concern local bodies.
- (v) Name of agency and agreement copy.
- (vi) Agreement copy with recyclers.
- (vii) Copy of Registration of recyclers.
- (viii) Agreement copy with cement kilns.
- (ix) Copy of Valid Consent from State Pollution Control Board.
- (x) Plan for collection and disposal of back log from the date of publications of PWM Rules, 2016 i.e., 18-03-2016.

• **Documents to be submitted by producers in quarterly compliance report:-**

- (i) Quantum of Plastic Waste collected as a back log with certificate of ULB's.
- (ii) Quantum of Plastic Waste generated in last quarter (Tons).
- (iii) Quantum of Plastic Waste Collected for last quarter (Tons) with copy of certificate from ULB's
- (iv) Quantum of Plastic Waste recycled (back log and last quarter) (Tons) copy of certificate from authorized recycler.
- (v) Certificate of utilization of recycled. plastic waste recycled.
- (vi) Quantum of Plastic Waste co-processed in cement kilns (back log and last quarter) (Tons) copy of certificate from cement kilns.

**2.2 Brand Owner's:-** Every Brand Owner engaged in production of Plastic packing material shall apply for registration from UPPCB and shall submit Extend Producer Responsibility Plan (EPR) to UPPCB. The EPR Plan to be submitted by Brand Owner to UPPCB and concerning local body is available at the site of CPCB and Annexed as Annexure-1 of the SOP. As the National Framework for EPR is under consideration at MoEF & CC, the SOP shall be applicable till the finalization of EPR Framework by MoEF & CC, following which PIBOs shall be liable to fulfill EPR liability as specified in the Framework.



**The EPR Plan and the duties of Brand Owner are defined as below:-**

- **Collection:** Brand Owner shall fill the catchment area of the plastic waste generated through its manufacturing and shall make agreement with the concern local body for collection of the plastic waste. Brand Owner may engaged local body or any agency for collection, transportation and disposal of plastic waste but the certificate of Local Body/Gram Panchayat for collection of waste is mandatory.
- **Recycling:** Brand Owner through by its own channel, through any agency or through local body shall recycle the recyclable Plastic Waste and shall submit the receipt of recycling from authorized recyclers.
- Brand Owner shall submit the utilization certificate of the plastic waste recycled from recyclers.
- Brand Owner through by its own channel, through any agency or through local body shall dispose the non-recyclable plastic waste through cement kiln and shall submit the receipt of plastic waste co-processed.
- **Documents to be submitted by Brand Owner with EPR Plan:**
  - (xi) Catchment area of plastic waste
  - (xii) Quantity of Plastic Packing material produced (annual)
  - (xiii) Type of Plastic Packing material produced.
  - (xiv) Agreement Copy with concern local bodies.
  - (xv) Name of agency and agreement copy.
  - (xvi) Agreement copy with recyclers.
  - (xvii) Copy of Registration of recyclers.
  - (xviii) Agreement copy with cement kilns.
  - (xix) Copy of Valid Consent from State Pollution Control Board.
  - (xx) Plan for collection and disposal of back log from the date of publications of PWM Rules, 2016 i.e., 18-03-2016.
- **Documents to be submitted by Brand Owner in quarterly compliance report :-**
  - (vii) Quantum of Plastic Waste collected as a back log with certificate of ULB's.
  - (viii) Quantum of Plastic Waste generated in last quarter (Tons).

- (ix) Quantum of Plastic Waste Collected for last quarter (Tons) with copy of certificate from ULB's
- (x) Quantum of Plastic Waste recycled (back log and last quarter) (Tons) copy of certificate from authorized recycler.
- (xi) Certificate of utilization of recycled. plastic waste recycled.
- (xii) Quantum of Plastic Waste co-processed in cement kilns (back log and last quarter) (Tons) copy of certificate from cement kilns.

**2.3 Importer's:** Every Importer who generates plastic waste from imported items which contains Plastic packing material shall apply shall submit Extend Producer Responsibility Plan (EPR) to UPPCB. The EPR Plan to be submitted by Importer to UPPCB and concerning local body is available at the site of CPCB and Annexed as Annexure-1 of the SOP. As the National Framework for EPR is under consideration at MoEF & CC, the SOP shall be applicable till the finalization of EPR Framework by MoEF & CC, following which PIBOs shall be liable to fulfill EPR liability as specified in the Framework.

The EPR Plan and the duties of Importer is defined as below:-

- **Collection:** Importer shall fill the catchment area of the plastic waste generated through its manufacturing and shall make agreement with the concern local body for collection of the plastic waste. Importer may engaged local body or any agency for collection, transportation and disposal of plastic waste but the certificate of Local Body/Gram Panchayat for collection of waste is mandatory.
- **Recycling:** Importer through by its own channel, through any agency or through local body shall recycle the recyclable Plastic Waste and shall submit the receipt of recycling from authorized recyclers.
- Importer shall submit the utilization certificate of the plastic waste recycled from recyclers.
- Importer through by its own channel, through any agency or through local body shall dispose the non-recyclable plastic waste through cement kiln and shall submit the receipt of plastic waste co-processed.
- **Documents to be submitted by Importer with EPR Plan:**
  - (xxi) Catchment area of plastic waste
  - (xxii) Quantity of Plastic Packing Waste generated (annual)

- (xxiii) Type of Plastic Packing waste .
- (xxiv) Agreement Copy with concern local bodies.
- (xxv) Name of agency and agreement copy.
- (xxvi) Agreement copy with recyclers.
- (xxvii) Copy of Registration of recyclers.
- (xxviii) Agreement copy with cement kilns.
- (xxix) Copy of Valid Consent from State Pollution Control Board.
- (xxx) Plan for collection and disposal of back log from the date of publications of PWM Rules, 2016 i.e., 18-03-2016.

• **Documents to be submitted by Importers in quarterly compliance report :-**

- (xiii) Quantum of Plastic Waste collected as a back log with certificate of ULB's.
- (xiv) Quantum of Plastic Waste generated in last quarter (Tons).
- (xv) Quantum of Plastic Waste Collected for last quarter (Tons) with copy of certificate from ULB's
- (xvi) Quantum of Plastic Waste recycled (back log and last quarter) (Tons) copy of certificate from authorized recycler.
- (xvii) Certificate of utilization of recycled. plastic waste recycled.
- (xviii) Quantum of Plastic Waste co-processed in cement kilns (back log and last quarter) (Tons) copy of certificate from cement kilns.

### **3.0 SOP for issuance of Registration by UPPCB**

#### **3.1 Submission of application**

The PIBOs shall apply to UPPCB for the registration online on the portal. The application format which is in accordance with Form-I of PWM Rules 2016. Application fee, as prescribed, shall be paid by PIBO at the time of submitting the application for Registration with UPPCB. No Registration shall be done without proper EPR Plan.

#### **3.2 Checklist of documents to be submitted for Obtaining Registration from UPPCB**

- (a) DIC Certificate, if the unit has a production facility
- (b) Valid Consents under Air & Water Act, if the unit has a production facility
- (c) Documents related to Action Plan for fulfilling EPR liability ( State/UT wise)
  - i. Document issued by ULB / designated state authority related to engagement of PIBO/WMA (as applicable)
  - ii. Agreement of PIBO/WMA with Plastic recycling/disposal units (as applicable)
  - iii. Agreement of PIBO with PRO's (as applicable)

#### **3.3 Responsibility of SPCB**

- SPCB shall monitor implementation of EPR Action Plan of PIBOs at State level to ensure its effective implementation.
- SPCB shall compile PIBO wise activities operating in the state/UT as per their EPR action plan and share it in public domain.
- No Registration shall be done without proper EPR Plan.
- SPCB shall verify the agreement with ULB and PRO's.
- SPCB shall

#### **3.4 Responsibility of ULB's**

- i. Identify and inventoried the quantum of plastic waste generated and already dumped in there area.
- ii. Channelize the disposal of plastic waste i.e., collection, transportation and disposal of plastic waste.
- iii. Ensure open burning of plastic waste does not take place.

- iv. Setup system for plastic waste management through agreement with PIBO's.
- v. Provide List of PIBO's who have done agreement with local body for channelization of plastic waste and sent quarterly data of plastic waste disposal to UPPCB.

### **3.5 Grant of Registration**

- vi. Fresh Registration valid for a period of one year in accordance with provision of PWM Rules shall be issued to the PIBOs.
- vii. Subsequent registrations shall be valid for a period of three years in accordance with provision of PWM Rules.
- viii. Regional Office UPPCB, shall be the approving authority for issuing of the Certificate.

### **3.6 Applicability of SOP**

- (i) SOP shall be applicable to all applications received after the date of issue of the current SOP. However, the existing registrations shall be aligned with the SOP within three months of issue of SOP.
- (ii) The applications under process at UPPCB for grant of Registration shall be processed as per provisions of the current SOP. Therefore, if required, additional details shall be furnished by the applicants.
- (iii) As the National Framework for EPR is under consideration at MoEF&CC, the SOP shall be applicable till the finalization of EPR Framework by MoEF&CC, following which PIBOs shall be liable to fulfill EPR liability as specified in the Framework.

## ANNEXURE 1

### PREPARATION OF ACTION PLAN FOR PRODUCERS/ IMPORTERS/ BRAND OWNERS(PIBOS) FOR PLASTIC WASTE MANAGEMENT

#### 1.0 Background:

Plastic Waste Management (PWM) Rules 2016 provide a regulatory frame work for management of plastic waste generated in the country. The provisions of these Rules lay emphasis on plastic waste minimization, source segregation, recycling, involving waste pickers, recyclers and waste processors in collection of plastic waste fraction either from households or any other source of its generation or intermediate material recovery facility and adopt polluter's pay principle for the sustainability of the waste management system.

As per the provisions of the PWM Rules the PIBOs have to work out modalities for waste collection system based on Extended Producers Responsibility (EPR). **EPR means the responsibility of a producer (includes Brand-owners/Importers) for the environmentally sound management of the product until the end of its life.** Further the PIBOs are required to obtain Registration from CPCB/ SPCBs/PCCs (as the case may be) prior to commencement of production. The PIBOs are required to apply for Registration in Form I along with the Action Plan for plastic waste management.

This document gives the outline for framing the Action Plan for plastic waste management (PWM) by PIBOs.

#### 2.0 Alternatives for Action Plan

The following alternatives may be considered for preparation of action plan for PWM:

- (i) **Plastic Waste management through Own Distribution channels-**
  - a. Waste Collection:** Through own distribution channel.
  - b. Waste Quantity:** Waste quantity should be equivalent to the estimated quantity of plastic waste generated.
  - c. Waste Recycling:** In this case, the PIBOs Owner must have contract with the recyclers to ensure that the waste collected by them is sent to the recyclers. Alternatively, the PIBOs can send the plastic waste for co-processing in cement mills. Road making or any other waste processing facility, the documentary proof of which has to be submitted to CPCB.
  - d. Action Plan Coverage:** To cover the area in which the products are sold to ensure collection of plastic waste preferably in the states/UT covered by their distribution channel

- (ii) **Plastic Waste management through Urban Local Bodies (ULBs)**: The PIBOs can engage with the ULBs for collection, segregation and recycling of equivalent quantity of plastic waste.
- a) **Waste Collection**: In partnership with ULBs.
  - b) **Waste Quantity**: Waste quantity should be equivalent to the estimated quantity of plastic waste generated.
  - c) **Waste Recycling**: In this case, the PIBOs/ULBs may have contract with the recyclers to ensure that the waste collected by them is sent to the recyclers. Alternatively, the plastic waste can be sent for coprocessing in cement mills/ Road making or any other waste processing facility, the documentary proof of which has to be submitted to CPCB.
  - d) **Action Plan Coverage**: To ensure Pan-India coverage, preferably one ULB from each of the five zones of India i.e., North, South, Central, East and West India may be engaged by PIBOs selling their products on Pan-India basis. For those PIBOs selling their products in a limited no. of States/UTs – ULBs may be engaged so as to ensure maximum coverage of States/UTs. Also, to ensure that cities of all categories are covered preferably one ULB from Tier I, II & III be engaged.
- (iii) **Through Agency**: in which the Agency must have necessary contracts with the ULBs for collection & segregation of waste and with the recyclers for reuse /recycle of waste. Other requirements of this alternative shall be same as “ii” above.
- a) **Waste Collection**: In partnership with Agencies
  - b) **Waste Quantity**: Waste quantity should be equivalent to the estimated quantity of plastic waste generated.
  - c) **Waste Recycling**: In this case, the PIBOs/Agencies may have contract with the recyclers to ensure that the waste collected by them is sent to the recyclers. Alternatively, the plastic waste can be sent for co-processing in cement mills/ Road making or any other waste processing facility, the documentary proof of which has to be submitted to CPCB.
  - d) **Action Plan Coverage**: To ensure Pan-India coverage, preferably one Agency from each of the five zones of India i.e., North, South, Central, East and West India may be engaged by PIBOs selling their products on Pan-India basis. For those PIBOs selling their products in a limited no. of States/UTs – Agencies may be engaged so as to ensure maximum coverage of States/UTs. Also, to ensure that cities of all categories are covered preferably one Agency from Tier I, II & III be engaged.

PIBOs may consider any or a combination of the above alternatives for preparation of the Action plan. In addition to the above three alternatives, PIBOs may submit

the Action Plan following any other option which is feasible and ensures management of plastic waste management in accordance with provisions of PWMRules.

**Implementation and Monitoring Plan:**

- (i) PIBOs need to submit verification process in case of engagement of Agency to confirm that said quantity of waste has been managed by the Agency and there is no overlap / duplication with other PIBOs.
- (ii) The Action Plan submitted by PIBOs shall be sent to concerned SPCBs/PCCs for confirmation.
- (iii) The PIBOs to submit the details of waste collected through either of the three options in 2.0 above and submit it to CPCB/SPCB on quarterly basis. Format of submission of quarterly report is enclosed at Annexure II.
- (iv) SPCB in turn to verify the claims submitted by the PIBOs with the concerned ULBs/ recyclers and confirm the same to CPCB within 15 days of receipt of the details.

**Validation of Information submitted by PIBOs**

- (i) The PIBOs shall be solely responsible for the information provided to SPCB/CPCB.
- (ii) If, at any stage, information provided by the PIBOs is found to be incorrect, then the Registration granted by CPCB shall be cancelled.

Format for framing Action plan for PWM incorporating the above points is placed at Annexure 1. Format for Submitting Quarterly Reports on PWM by PIBOs to CPCB is enclosed at Annexure 2.



**Format for framing Action plan for PWM**

| Sl.No | Item  | Description |
|-------|---|-------------|
| 1     | Name & Address of the Unit  |             |
| 2     | Quantity & Type of Plastic Consumed   |             |
| 3     | Estimated quantity of Plastic Waste to be generated   |             |
| 4     | Action Plan for Own Waste/ Equivalent quantity of Waste   |             |
| 5     | In case of Equivalent Quantity of Waste, source of waste generation to be identified  |             |
| 6     | Action Plan based on:<br>a) Own Distribution Channel<br>b) Engagement with ULBs<br>c) Engagement of Agency<br>d) Any Other (please provide details) |             |
| 6a    | <b>Own Distribution Channel</b>   |             |
| i     | Details of Distribution Network   |             |
| ii    | Does the Network have Pan- India coverage   |             |
| iii   | Estimated Qty of Waste to be Collected  |             |
| iv    | Mode of Reusing/Recycling Waste ( Recycler/ Road Making / Coprocessing/ Others (please specify)   |             |
| v     | Details (including registration details) of Recycler / Coprocessor/ Agency engaged in Road making/ Others (please specify)                          |             |
| vi    | Break-up of quantity proposed to be sent to Recycler / Coprocessor/ Agencies engaged in Road making/ Others (please specify)                        |             |
| 6b    | <b>Engagement with ULBs</b>   |             |
| i     | List of ULBs to be engaged with for PWM   |             |
| ii    | Does the above list ensure Pan India coverage   |             |
| iii   | Break up of Waste to be collected in association with the ULBs  |             |
| iv    | Document supporting engagement with ULBs  |             |
| v     | Mode of Reusing/Recycling Waste ( Recycler/ Road Making / Coprocessing),  |             |
| vi    | Engagement with Recycler / Coprocessor/ Agency engaged in Road making   |             |
| vii   | Details (including registration details) of Recycler / Coprocessor/ Agency engaged in Road making   |             |
| viii  | Break-up of quantity proposed to be sent to Recycler / Coprocessor/ Agencies engaged in Road making   |             |
| ix    | Document supporting engagement with Recycler / Coprocessor/ Agency engaged in Road making   |             |
| 6c    | <b>Engagement of Agency</b>   |             |
| i     | List of Agencies to be engaged with for PWM   |             |

|      |   |  |
|------|---|--|
| ii   | Does the above list ensure Pan India coverage   |  |
| iii  | Document supporting engagement of the Agency by the ULBs/ Bulk Waste generators                     |  |
| iv   | Break up of Waste to be collected/segregated by the Agency  |  |
| v    | Mode of Reusing/Recycling Waste ( Recycler/ Road Making / Coprocessing)                             |  |
| vi   | Engagement with Recycler / Coprocessor/ Agency engaged in Road making                               |  |
| vii  | Details (including registration details) of Recycler / Coprocessor/ Agency engaged in Road making   |  |
| viii | Break-up of quantity proposed to be sent to Recycler / Coprocessor/ Agencies engaged in Road making |  |
| 6d   | Any Other Options ( Please specify and provide details on PWM as per 6 a-c above)                   |  |

**(Signature),**

**(Name & Designation of Authorized person)**

**Note:** The PIBOs to submit the material flow sheet for PWM incorporating the above details.

**ANNEXURE 2**

## Format for Submitting Quarterly Reports on PWM by PIBOs to CPCB

| <b>Sl.No</b> | <b>Item</b>   | <b>Description</b> |
|--------------|---|--------------------|
| 1            | Name & Address of the Unit  |                    |
|              | Period for which Quarterly Report is submitted  |                    |
| 2            | Quantity & Type of Plastic Consumed   |                    |
| 3            | Quantity of Plastic Waste generated   |                    |
| 4            | Details of Waste Collected  |                    |
| a            | Details of waste collected through Own Distribution Channel ( Quantity along with supporting Documents)   |                    |
| b            | Details of Waste Collected through ULBs ( Name, Quantity along with Supporting Documents)   |                    |
| c            | Details of Waste Collected through engaging Agency ( Name, Quantity, Details of ULBs, Bulk Waste Generators along with Supporting Documents)        |                    |
| d            | Details of Waste Collected through Others (Please Specify)( Name, Quantity, Details of ULBs, Bulk Waste Generators along with Supporting Documents) |                    |
| 5            | Details of Waste Processed  |                    |
| a            | Details of waste sent to Recycler ( Name, Quantity, Supporting Documents)   |                    |
| b            | Details of waste sent to Coprocessing ( Name, Quantity, Supporting Documents)   |                    |
| c            | Details of waste sent to Road making ( Name, Quantity, Supporting Documents)  |                    |
| d            | Details of waste sent to Others (please specify)( Name, Quantity, Supporting Documents)   |                    |

**(Signature),****(Name & Designation of Authorized person)**